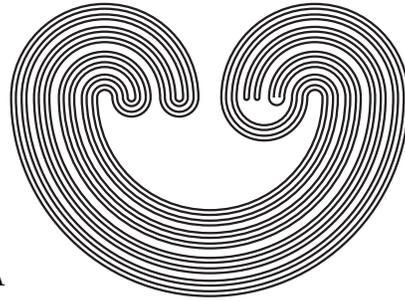


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## HEREDITARILY $\alpha$ -NORMAL SPACES AND INFINITE PRODUCTS

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### Abstract

Characterizations are given for hereditarily  $\alpha$ -normal and hereditarily  $\beta$ -normal spaces. We obtain results related to these spaces and extremally disconnected spaces. Results and a question on infinite products are also given.

### 1. Introduction

In [AL], two new generalizations of normality were introduced. A space  $X$  is called  $\alpha$ -normal if for any two disjoint closed subsets  $A$  and  $B$  of  $X$  there exist disjoint open subsets  $U$  and  $V$  of  $X$  such that  $A \cap U$  is dense in  $A$  and  $B \cap V$  is dense in  $B$ . A space  $X$  is called  $\beta$ -normal if for any two disjoint closed subsets  $A$  and  $B$  of  $X$  there exist open subsets  $U$  and  $V$  of  $X$  such that  $A \cap U$  is dense in  $A$ ,  $B \cap V$  is dense in  $B$ , and  $\overline{U} \cap \overline{V} = \emptyset$ . Clearly, normality implies  $\beta$ -normal and  $\beta$ -normal implies  $\alpha$ -normal.

Several results involving extremally disconnected spaces and hereditarily separable spaces were presented in that paper. It was natural to search the literature for such topics and explore the role of  $\alpha$ - or  $\beta$ -normality in such spaces. Several of the results in this paper use hereditary  $\alpha$ -normality to strengthen Wage's [W] results on extremally disconnected S-spaces. We

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also present several characterizations of hereditarily  $\alpha$ - and  $\beta$ -normal spaces.

In 1948, A.H. Stone proved that  $\mathbb{N}^{\omega_1}$  is not normal. We show that this space is not  $\alpha$ -normal. Several results are given from this example that describe the behavior of hereditary  $\alpha$ -normality under uncountable products and characterize certain uncountable products.

## 2. Hereditarily $\alpha$ -normal

Recall the following well-known characterization of hereditarily normal spaces.

**Fact 2.1.** For every  $T_1$ -space  $X$ , the following conditions are equivalent:

- (1) The space  $X$  is hereditarily normal.
- (2) Every open subspace of  $X$  is normal.
- (3) For every pair of separated sets  $A, B \subseteq X$  there exists open sets  $U, V \subseteq X$  such that  $A \subseteq U$ ,  $B \subseteq V$  and  $U \cap V = \emptyset$ .

We now have a parallel result for  $\alpha$ -normality.

**Defintition 2.1.** A space  $X$  is *hereditarily  $\alpha$ -normal* if every subspace of  $X$  is  $\alpha$ -normal.

**Theorem 2.1.** *For every  $T_1$ -space  $X$ , the following conditions are equivalent:*

- (1) *The space  $X$  is hereditarily  $\alpha$ -normal.*
- (2) *Every open subspace of  $X$  is  $\alpha$ -normal.*
- (3) *For every pair of separated sets,  $A, B \subseteq X$  there exists open sets  $U, V \subseteq X$  such that  $A \cap U$  is dense in  $A$ ,  $B \cap V$  is dense in  $B$  and  $U \cap V = \emptyset$ . (We may call this  $\alpha$ -separated.)*

*Proof.* The implication (1)  $\Rightarrow$  (2) is obvious. For (2)  $\Rightarrow$  (3), let  $A$  and  $B$  be separated sets of  $X$ . Consider  $M = X \setminus (\bar{A} \cap \bar{B})$ , an open subspace of  $X$  with  $A, B \subseteq M$ . Since  $\text{Cl}_M(A) \cap \text{Cl}_M(B) = \emptyset$  and by hypothesis  $M$  is  $\alpha$ -normal, there exists open disjoint  $U, V \subseteq M$  such that

$$\overline{U \cap \text{Cl}_M(A)}^M = \text{Cl}_M(A) \quad \text{and} \quad \overline{V \cap \text{Cl}_M(B)}^M = \text{Cl}_M(B)$$

That is,  $U \cap A$  is dense on  $A$  and  $V \cap B$  is dense on  $B$ . But  $M$  is open in  $X$ , thus  $U, V$  are open in  $X$  as desired. For (3)  $\Rightarrow$  (1), let  $M$  be a subspace of  $X$  and  $A, B \subseteq M$  a pair of disjoint closed subsets. Note  $A$  and  $B$  are separated in  $X$ . By hypothesis there exists open disjoint  $U, V \subseteq X$  such that  $A \cap U$  is dense in  $A$  and  $B \cap V$  is dense in  $B$ . Now  $U \cap M$  and  $V \cap M$  are open in  $M$  and clearly  $A \cap (U \cap M)$  is dense in  $A$  and  $B \cap (V \cap M)$  is dense in  $B$ . That is,  $M$  is  $\alpha$ -normal, that is  $X$  is hereditarily  $\alpha$ -normal.  $\square$

It is curious to note that a parallel to Theorem 2.1 does not hold for the seemingly stronger property of  $\beta$ -normality. Only parts (1) and (2) hold for hereditarily  $\beta$ -normal spaces as the following example and theorem demonstrate.

**Example 2.1.** Let  $X = [0, 1]$  with the usual topology. Clearly,  $X$  is metrizable, hence hereditarily normal, thus hereditarily  $\beta$ -normal. Consider  $A = [0, \frac{1}{2})$   $B = (\frac{1}{2}, 1]$ , two separated subsets of  $X$ . There does not exist open disjoint subsets  $U, V$  of  $X$  such that  $A \cap U$  is dense on  $A$ ,  $B \cap V$  is dense on  $B$  and  $\bar{U} \cap \bar{V} = \emptyset$ , since  $\{\frac{1}{2}\} \in \bar{U} \cap \bar{V}$  for all such  $U$  and  $V$ . Thus we see that there is no version of (3) of Theorem 2.1 for  $\beta$ -normal spaces.

**Theorem 2.2.** *For every  $T_1$ -space  $X$ , the following conditions are equivalent:*

- (1) *The space  $X$  is hereditarily  $\beta$ -normal.*
- (2) *Every open subspace of  $X$  is  $\beta$ -normal.*

*Proof.* The implication (1)  $\Rightarrow$  (2) is obvious. For (2)  $\Rightarrow$  (3), let  $M$  be a nonempty subspace of  $X$ . Let  $A$  and  $B$  be closed disjoint subsets of  $M$ . Clearly  $A = \text{Cl}_X(A) \cap M$  and  $B = \text{Cl}_X(B) \cap M$ . Consider  $Y = X \setminus (\text{Cl}_X(A) \cap \text{Cl}_X(B))$  is an open subspace of  $X$  with  $A$  and  $B$  closed disjoint subsets of  $Y$ . By hypothesis  $Y$  is  $\beta$ -normal, so there exist open  $U, V \subseteq Y$  such that  $A \cap U$  is dense in  $A$ ,  $B \cap V$  is dense in  $B$  and  $\text{Cl}_Y(U) \cap \text{Cl}_Y(V) = \emptyset$ . Since  $M \subseteq Y$ , we have  $U \cap M$  and  $V \cap M$  are open disjoint subsets of  $M$  with  $A \cap U \cap M$  is dense in  $A$ ,  $B \cap V \cap M$  is dense in  $B$  and  $\text{Cl}_M(U \cap M) \cap \text{Cl}_M(V \cap M) = \emptyset$ . That is,  $M$  is  $\beta$ -normal, hence  $X$  is hereditarily  $\beta$ -normal.  $\square$

It was shown in [AL] that every extremally disconnected  $\alpha$ -normal space  $X$  is normal and every S-space is  $\alpha$ -normal. We now take the natural course and investigate the properties of extremally disconnected hereditarily  $\alpha$ -normal spaces. Many of the results in this section strengthen those of Wage by removing the S-space property and inserting the property hereditarily  $\alpha$ -normal. The first result shows that for extremally disconnected spaces, hereditarily  $\alpha$ -normal is equivalent to hereditarily normal.

**Theorem 2.3.** *Let  $X$  be an extremally disconnected space.  $X$  is hereditarily normal if and only if  $X$  is hereditarily  $\alpha$ -normal.*

*Proof.* Necessity is clear. For sufficiency, let  $Y$  be an open subspace of  $X$ . Let  $A$  and  $B$  be two closed disjoint subsets of  $Y$ . Since  $Y$  is  $\alpha$ -normal, there exists disjoint open subsets  $U$  and  $V$  of  $Y$  such that  $A \cap U$  is dense in  $A$  and  $B \cap V$  is dense in  $B$ . But  $Y$  is open, hence extremally disconnected. This implies that  $\text{Cl}_Y(U)$  and  $\text{Cl}_Y(V)$  are disjoint and open in  $Y$ . Thus,  $A = \text{Cl}_Y(A \cap U) \subseteq \text{Cl}_Y(U)$  and  $B = \text{Cl}_Y(B \cap V) \subseteq \text{Cl}_Y(V)$  as desired.  $\square$

**Corollary 2.1.** *Every extremally disconnected hereditarily  $\alpha$ -normal space  $X$  is hereditarily extremally disconnected.*

*Proof.* By Theorem 2.3,  $X$  is hereditarily normal. It is an easy exercise to show that every extremally disconnected hereditarily normal space is hereditarily extremally disconnected.  $\square$

In [K], Kochinats defined a space  $X$  to be *weakly perfect* if every closed subspace  $A$  of  $X$  contains some subset which is dense in  $A$  and is a  $G_\delta$  in  $X$ . Couple this with  $\alpha$ -normal and we have the following definition.

**Defintion 2.2.** A space  $X$  is *weakly perfectly  $\alpha$ -normal* if  $X$  is weakly perfect and  $\alpha$ -normal.

**Theorem 2.4.** *If  $X$  is an extremally disconnected, weakly perfectly hereditarily  $\alpha$ -normal space, then  $X$  is perfect.*

Before proving 2.4, we consider the following lemma.

**Lemma 2.1.** *Let  $X$  be an extremally disconnected hereditarily  $\alpha$ -normal space. If  $A$  is a closed subset of  $X$  and  $U$  is an open subset of  $X$  such that  $\overline{A \cap U} = A$ , then  $A \cup U$  is an open set in  $X$ .*

*Proof.* Suppose  $A \cup U$  is not open. Then there exists  $x \in A$  such that  $x \in \overline{X \setminus (A \cup U)}$ . Since  $\overline{A \cap U} = A$  and  $\overline{X \setminus (A \cup U)} \subseteq \overline{X \setminus U} = X \setminus U$ , we have  $(X \setminus (A \cup U)) \cap (\overline{A \cap U}) = \emptyset$  and  $(X \setminus (A \cup U)) \cap (A \cap U) = \emptyset$  respectively. Hence  $X \setminus (A \cup U)$  and  $A \cap U$  are separated subsets of  $X$  and by Theorem 2.3,  $X$  is hereditarily normal. So, there exist open disjoint subsets  $V$  and  $W$  of  $X$ , such that  $X \setminus (A \cup U) \subseteq V$  and  $A \cap U \subseteq W$ . We now have

$$x \in \overline{X \setminus (A \cup U)} \subseteq \overline{V}$$

$$x \in A = \overline{A \cap U} \subseteq \overline{W}.$$

But  $X$  is extremally disconnected, so  $\overline{V} \cap \overline{W} = \emptyset$ , a contradiction. Hence  $A \cup U$  is open as desired.  $\square$

*Proof.* [Proof of Theorem 2.4] Let  $A$  be a closed subset of  $X$ . Since  $X$  is weakly perfect, there exists a  $G_\delta$ ,  $G = \bigcap_{n \in \omega} G_n$ , of  $X$  such that  $A = \overline{\bigcap_{n \in \omega} G_n}$ . Clearly  $\overline{G_n} \cap A = A$  for all  $n \in \omega$ , hence  $G_n \cup A$  is an open subset of  $X$  for all  $n \in \omega$  by Lemma 2.1. Thus  $A = \bigcap_{n \in \omega} (G_n \cup A)$  is a  $G_\delta$  in  $X$ . That is,  $X$  is perfect.  $\square$

It is interesting to note that Wage showed under  $\clubsuit$  that not every extremally disconnected S-space is perfect [W]. In [AL], it was shown that every regular, hereditarily separable space is hereditarily  $\alpha$ -normal. Thus, every S-space is hereditarily  $\alpha$ -normal. So we see that weakly perfect is a necessary condition for Theorem 2.4.

In his Ph.D. thesis, Wage showed that there are no extremally disconnected hereditarily separable Dowker spaces. Indeed, every extremally disconnected, hereditarily separable, regular space  $X$  is normal and countably metacompact, hence countably paracompact. It is unclear at this time if hereditarily separable can be replaced by hereditarily  $\alpha$ -normal or even hereditarily normal to obtain the same result.

**Question 2.1.** *Does there exist a hereditarily normal ( $\alpha$ -normal,  $\beta$ -normal), extremally disconnected Dowker space?*

### 3. Infinite Products

In his 1948 article, A.H. Stone provided a necessary and sufficient condition for the topological product of uncountably many metric spaces to be normal. We now strengthen this result by showing the same holds true for  $\alpha$ -normality.

**Example 3.1.** The product of uncountably many metric spaces may not be  $\alpha$ -normal: the product space  $\mathbb{N}^{\omega_1}$  of  $\omega_1$  copies of the natural numbers is not  $\alpha$ -normal.

*Proof.* For convenience of notation we use  $\omega^{\omega_1}$  instead of  $\mathbb{N}^{\omega_1}$ . For a contradiction, suppose  $\omega^{\omega_1}$  is  $\alpha$ -normal. We will witness two closed disjoint subsets on  $\omega^{\omega_1}$  which cannot be  $\alpha$ -separated. Fix  $T = \omega \setminus \{0, 1\}$  and define two subsets of  $\omega^{\omega_1}$  as follows:

$$E_0 = \{x \in \omega^{\omega_1} : \exists \alpha \in \omega_1 \text{ s.t. } x \upharpoonright_\alpha \text{ is one to one into } T \text{ and} \\ x(\beta) = 0 \forall \beta \geq \alpha\},$$

$$E_1 = \{x \in \omega^{\omega_1} : \exists \alpha \in \omega_1 \text{ s.t. } x \upharpoonright_\alpha \text{ is one to one into } T \text{ and} \\ x(\beta) = 1 \forall \beta \geq \alpha\}.$$

It can be easily shown that  $E_0$  and  $E_1$  are indeed disjoint closed subsets of  $\omega^{\omega_1}$ . By assumption, there exists disjoint open subsets  $U, V$  of  $\omega^{\omega_1}$  such that  $\overline{U \cap E_0} = E_0$  and  $\overline{V \cap E_1} = E_1$ . Consider the homeomorphism  $\phi : \omega^{\omega_1} \rightarrow \omega^{\omega_1}$  defined by

$$\phi_x(\alpha) = \begin{cases} x(\alpha) & \text{if } x(\alpha) \in T \\ 1 - x(\alpha) & \text{if } x(\alpha) \in \{0, 1\} \end{cases}$$

Note that  $\phi(E_1) = E_0$ . Let  $V' = \phi(V)$ , then  $V' \cap E_0$  is an open dense subset of  $E_0$ . Hence  $U \cap V' \cap E_0$  is an open dense subset of  $E_0$ .

Now find an uncountable  $\Lambda \subseteq \omega_1$  and corresponding  $\mathcal{F} = \{z_\alpha : \alpha \in \Lambda\} \subseteq U \cap V' \cap E_0$  such that  $z_\alpha \upharpoonright_\alpha$  is one to one into  $T$  and  $z_\alpha(\beta) = 0$  for all  $\beta \geq \alpha$ . For all  $\alpha \in \Lambda$ , find a finite restriction  $g_\alpha$  of  $z_\alpha$  such that the basic open set  $[g_\alpha] \subseteq U \cap V'$ . Consider  $h_\alpha = \phi \circ g_\alpha$  and  $y_\alpha = \phi \circ z_\alpha$ . For each  $\alpha \in \Lambda$  we have  $y_\alpha \in V \cap E_1$ ,  $[h_\alpha] \subseteq V$ ,  $h_\alpha \upharpoonright_\alpha = g_\alpha \upharpoonright_\alpha$ , and  $h_\alpha(\beta) = 1$  if  $\beta \geq \alpha$ . Consider  $\mathcal{D} = \{\text{dom}(g_\alpha) : \alpha \in \Lambda\}$ . Without loss of generality, we assume

$$\{\text{dom}(g_\alpha) \cap (\omega_1 \setminus \alpha) : \alpha \in \Lambda\} \quad (*)$$

is pairwise disjoint.

By the delta system lemma, there exists an uncountable  $\mathcal{D}' \subseteq \mathcal{D}$ , indexed by  $\Lambda' \subseteq \Lambda$ , with a root  $a$ . Note that by (\*), for each  $\alpha \in \Lambda'$ , we have  $a \subseteq \text{dom}(g_\alpha) \cap \alpha$ . Observe that  $\{g_\alpha \upharpoonright_a : \alpha \in \Lambda'\}$  has only countably many elements. So there exists  $\alpha, \gamma \in \Lambda'$ ,  $\alpha < \gamma$ , such that  $g_\gamma \upharpoonright_a = g_\alpha \upharpoonright_a$ . But  $g_\alpha \upharpoonright_a = g_\gamma \upharpoonright_a = \phi \circ g_\gamma \upharpoonright_a = h_\gamma \upharpoonright_a$  and  $(\text{dom}(g_\alpha) \setminus a) \cap (\text{dom}(h_\gamma) \setminus a) = \emptyset$  by the delta system lemma. We conclude that  $[g_\alpha] \cap [h_\gamma] \neq \emptyset$ . That is,  $U \cap V \neq \emptyset$ , a contradiction.  $\square$

Now we can completely describe the behavior of hereditary  $\alpha$ -normality under uncountable products.

**Theorem 3.1.** *The product of uncountably many spaces containing at least two points is never hereditarily  $\alpha$ -normal.*

*Proof.* Note that such a space contains a copy of  $\mathbb{N}^{\omega_1}$ . For example see [P].  $\square$

The following result shows that products of uncountably many factors are rarely  $\alpha$ -normal.

**Theorem 3.2.** *If the product space  $X = \prod_{\alpha < \kappa} X_\alpha$  is  $\alpha$ -normal, then all spaces, with the exception of at most countably many, are countably compact. In particular, if  $X^\kappa$  is  $\alpha$ -normal, then  $X$  is countably compact.*

*Proof.* Suppose that  $X_\alpha$  is not countably compact for, say,  $\alpha < \omega_1$ . Then each  $X_\alpha$ , for  $\alpha < \omega_1$ , contains a closed copy of the discrete space  $\mathbb{N}$  of natural numbers. Since  $\alpha$ -normality is preserved under closed subspaces, we have  $\mathbb{N}^{\omega_1}$  is  $\alpha$ -normal. This is a contradiction to Example 3.1.  $\square$

**Corollary 3.1.** *For a family  $\{X_\alpha\}_{\alpha < \kappa}$  of metrizable spaces,<sup>1</sup> the following conditions are equivalent:*

- (1)  $\prod_{\alpha < \kappa} X_\alpha$  is  $\alpha$ -normal.
- (2)  $\prod_{\alpha < \kappa} X_\alpha$  is paracompact.
- (3) All spaces, with the exception of at most countably many, are compact.

*Proof.* The implication (2)  $\Rightarrow$  (1) is obvious. For (1)  $\Rightarrow$  (3), by Theorem 3.2 all spaces  $X_\alpha$ , with the exception of at most

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<sup>1</sup> The same can also be shown for the more general paracompact p-spaces, see [P].

countably many, are countably compact. A countably compact metric space (paracompact space) is compact. For (3)  $\Rightarrow$  (2), recall the product of a compact space with a paracompact space is paracompact. Moreover, the product of countably many metric spaces is paracompact.  $\square$

In [N], Noble showed that if every power  $X^\kappa$  of a  $T_1$  topological space is normal, then  $X$  is compact. This leads to the following question of Arhangel'skii.

**Question 3.1.** *If every power  $X^\kappa$  of a  $T_1$  topological space is  $\alpha$ - $(\beta)$ -normal, is  $X$  compact?*

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